



Docket No.: 57810-032

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of	:	Customer Number: 20277
Masayuki HATA, et al.	:	Confirmation Number: 2755
Application No.: 10/081,180	:	Group Art Unit: 1765
Filed: February 25, 2002	:	Examiner: Robert M. Kunemund

For: NITRIDE-BASED SEMICONDUCTOR ELEMENT AND METHOD OF FORMING
NITRIDE-BASED SEMICONDUCTOR

INFORMATION DISCLOSURE STATEMENT

Mail Stop Amdenment
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Dear Sir:

In accordance with the provisions of 37 C.F.R. 1.56, 1.97 and 1.98, the attention of the Patent and Trademark Office is hereby directed to the documents listed on the attached form PTO-1449. It is respectfully requested that the documents be expressly considered during the prosecution of this application, and that the documents be made of record therein and appear among the "References Cited" on any patent to issue therefrom.

This Information Disclosure Statement is being filed more than three months after the U.S. filing date AND after the mailing date of the first Office Action on the merits, but before the mailing date of a Final Rejection or Notice of Allowance.

CERTIFICATION PARAGRAPH

The undersigned certifies that each item of information contained in this Information Disclosure Statement was first cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Information Disclosure Statement as described in 37 CFR 1.97(e)(1).

Each non-English language reference was cited in a corresponding foreign application search report or office action and its relevance discussed therein. A copy of the foreign search report or office action, together with an English language version thereof, is attached for the Examiner's information.

Please charge any shortage in fees due in connection with the filing of this paper, including extension of time fees, to Deposit Account 500417 and please credit any excess fees to such deposit account.

Respectfully submitted,

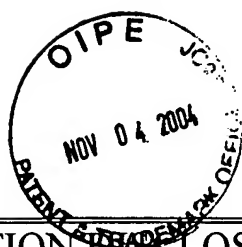
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SHEET 1 OF 1

INFORMATION DISCLOSURE
CITATION IN AN
APPLICATION

(PTO-1449)

ATTY. DOCKET NO.
57810-032SERIAL NO.
10/081,180APPLICANT
Masayuki HATA, et al.FILING DATE
February 25, 2002GROUP
1765

U.S. PATENT DOCUMENTS

EXAMINER'S INITIALS	CITE NO.	Document Number Number-Kind Code ² (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		US 6,316,785 B1	11-13-2001	Nunoue et al.	Corresponds to JP 2000-124500
		US 6,153,010	11-28-2000	Kiyoku et al.	Corresponds to JP 11-191657
		US 6,348,096 B1	2-19-2002	Sunakawa et al.	Corresponds to JP 10-312971
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FOREIGN PATENT DOCUMENTS

EXAMINER'S INITIALS	CITE NO.	Foreign Patent Document Country Codes-Number 4-Kind Codes (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines Where Relevant Figures Appear	Translation	
						Yes	No
		JP 2000-277437	10-6-2000	NICHIA CHEM IND LTD		JAPAN (w/English Abstract)	
		JP 2000-124500	4-28-2000	TOSHIBA CORP		JAPAN (w/English Abstract)	
		JP 11-191657	7-13-1999	NICHIA CHEM IND LTD		JAPAN (w/English Abstract)	
		JP 10-312971	11-24-1998	NEC CORP		JAPAN (w/English Abstract)	

OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)

EXAMINER'S INITIALS	CITE NO.	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.

EXAMINER

DATE CONSIDERED

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered.
Include copy of this form with next communication to applicant.

1 Applicant's unique citation designation number (optional). 2 Applicant is to place a check mark here if English language Translation is attached.

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